



SiEUGreen
Sino-European innovative green
and smart cities

D8.6 NEC — Requirement No.7

NMBU



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

SiEUGreen

The project has received funding from the European Union's Horizon 2020 Research, and Innovation program, under grant Agreement N 774233 and from the Chinese Ministry of Science and Technology.

Throughout SiEUGreen's implementation, EU and China will share technologies and experiences, thus contributing to the future developments of urban agriculture and urban resilience in both continents.

The project SiEUGreen aspires to enhance the EU-China cooperation in promoting urban agriculture for food security, resource efficiency and smart, resilient cities.

The project contributes to the preparation, deployment and evaluation of showcases in 5 selected European and Chinese urban and peri-urban areas: a previous hospital site in Norway, community gardens in Denmark, previously unused municipal areas with dense refugee population in Turkey, big urban community farms in Beijing and new green urban development in Changsha Central China.

A sustainable business model allowing SiEUGreen to live beyond the project period is planned by joining forces of private investors, governmental policy makers, communities of citizens, academia and technology providers.

Document Information

Grant Agreement Number	774233	Acronym	SiEUGreen	
Full Title	Sino-European innovative green and smart cities			
Topic	SFS-48-2017 – Resource-efficient urban agriculture for multiple benefits – contribution to the EU- China Urbanisation Partnership			
Funding scheme	Horizon 2020			
Start Date	January 2018	Duration	48 Months	
Project URL	https://www.nmbu.no/en/projects/sieugreen			
EU Project Officer	Ivica Karas			
Project Coordinator	NMBU			
Deliverable	D8.6 NEC – Requirement No. 7			
Work Package	7 - Project Management			
Date of Delivery	Contractual	M3	Actual	M3
Nature	Report	Dissemination Level	Confidential, only for members of the consortium (including the Commission Services)	
Lead Beneficiary	NMBU			
Responsible Authors	Dr. Manoj Kumar Pandey	Email	manoj.pandey@nmbu.no	
Reviewer(s):	All partners; Prof. Deborah H. Oughton			
Keywords	Ethics, Requirements, Humans, Third country, Personal Data, Consent			



Document History

Version	Issue Date	Stage	Changes	Contributor
1.0	23.03.2018	Draft	Circulation of the 1st DRAFT version to all partners for comments (1st level check)	NMBU, with the support of VILABS
1.1	27.03.2018	Draft	Circulation of 2nd version for final review (2nd level check)	NMBU, with the support of VILABS
1.2	30.03.2018	Final	3rd level check – Ready for submission	NMBU

Disclaimer

Any dissemination of results reflects only the author's view and the European Commission is not responsible for any use that may be made of the information it contains.

Copyright message

© SiEUGreen Consortium, 2018

This deliverable contains original unpublished work except where clearly indicated otherwise. Acknowledgement of previously published material and of the work of others has been made through appropriate citation, quotation or both. Reproduction is authorised provided the source is acknowledged.



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

Table of contents

1	Executive summary	6
2	Introduction	8
2.1	Audience of the document.....	9
3	Import/Export material from China	10
4	Personal data import and export from China.....	11
5	References.....	12



1 Executive summary

The SiEUGreen project brings together a multi-disciplinary consortium of European and Chinese researchers, technology providers, SMEs, financiers, local and regional authorities and resident communities, in order to apply novel urban agricultural techniques and new approaches for social engagement and investigate the economic, environmental and social benefits of urban agriculture. The SiEUGreen project will also incorporate elements of digital Social innovation, by enhancing resident participation and awareness raising through a gamification app's and an interactive platform. This will facilitate the collection of important human data linked to the social and cultural changes expected to be generated at each of the local communities and at an international level by the implementation of the SiEUGreen showcases. In the SiEUGreen project there is close interaction with the community therefore the ethical aspects are particularly important. The SiEUGreen consortium has analyzed the potential ethical considerations to be taken into account. The Pre- Grant "Ethics Summary report" identified the following ethical requirements that the SiEUGreen must comply with:

1. Humans (H) - Requirement No. 1- Details on the procedures and criteria that will be used to identify/recruit research participants must be provided (D8.1).
2. Humans (H) - Requirement No. 2- Detailed information must be provided on the informed consent procedures that will be implemented for the participation of humans (D8.2).
3. Humans (H) - Requirement No. 3- Templates of the informed consent forms and information sheet must be submitted on request (D8.3).
4. Protection of Personal Data (POPD) Requirement No. 4 - Detailed information must be provided on the procedures that will be implemented for data collection, storage, protection, retention and destruction and confirmation that they comply with national and EU legislation (D8.4).
5. Protection of Personal Data (POPD) - Requirement No. 5 - Detailed information on the informed consent procedures that will be implemented in regard to the collection, storage and protection of personal data must be submitted on request (D8.5).
6. Non-EU-Countries (NEC)- Requirement No. 7 - The applicant must provide details on the material which will be imported to/exported from EU and provide the adequate authorizations (if applicable). In case personal data are exported from the EU/Norway to the PR China, copies of EU Model Clause Contracts must be submitted (D 8.6).



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

The purpose of this deliverable (D8.6) is to provide details of materials which will be imported to/exported from EU and provide the adequate authorizations (if applicable). The deliverables should also provide the EU model Clause Contract in the case that personal data are exported from the EU/Norway to the PR China.



2 Introduction

The SiEUGreen project brings together a multi-disciplinary consortium of European and Chinese researchers, technology providers, SMEs, financiers, local and regional authorities and resident communities, in order to apply novel urban agricultural (UA) techniques and new approaches for social engagement and investigate the economic, environmental and social benefits of urban agriculture. SiEUGreen aspires to enhance the EU-China cooperation in promoting UA for food security, resource efficiency and smart, resilient cities through the development of showcases in selected European and Chinese urban and peri-urban areas. Throughout the implementation of the SiEUGreen project, EU and China will share technologies and experiences and will generate ground-breaking multidisciplinary demonstration cases, thus contributing to the future developments of UA. Since relation with the community is at the forefront of SiEUGreen project the ethical aspects are important. Each researcher and the participating institutions have, therefore, the obligation to follow the ethical standards and guidelines of Horizon 2020 regardless of the country in which the research is carried out.

NMBU, as coordinator of SiEUGreen will adopt both the European Commission (EC) (European Union 2018a; European Commission 2018b .), the Norwegian ethical guidelines developed by the National Research Ethics Committees (NESH 2016.), and the ethical guidelines of NMBU (NMBU 2015.). All ethical issues that this project may be exposed to, will be handled by the appropriate partners in their home countries with the local ethical committees. In cases where national guidelines differ from EC Guidelines, EC Guidelines will be adopted unless national guidelines are more restrictive. Country-specific ethical guidelines regarding data protection; particularly for non-Eu countries Turkey and China, are detailed in Deliverable 8.4. NMBU will use both online meetings and in person workshops to remind all the partners of the need to adhere to these ethics requirements.

The purpose of this deliverable (D8.6) is to provide details of materials which will be imported to/exported from EU and provide the adequate authorizations (if applicable). The deliverables should also provides the EU model Clause Contract in the case that person data are exported from the EU/Norway to the PR China.



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

2.1 Audience of the document

This report provides an internal record for the project itself and all consortium members, external expert advisory board and the Project Officer to be able to refer to and understand what ethical issues are in place. These ethical guidelines are provided to all the researchers and research assistants involved in the project.



3 Import/Export material from China

SiEUGreen aspires to enhance the EU-China cooperation in promoting UA for food security, resource efficiency and smart, resilient cities through the development of showcases in selected European and Chinese urban and peri-urban areas. Throughout the implementation of the SiEUGreen project, EU and China will share technologies and experiences and will generate ground-breaking multidisciplinary demonstration cases, thus contributing to the future developments of UA.

Based on pre-existing knowhow several key technologies will be tested in the Show cases. One area of intense cooperation would be green plant cultivation technologies that will be used in balcony and green houses (Task 2.1). The paper-based plant growing technology from China where green sprouts from different salad vegetables are grown on paper (soilless) with regular watering (for more information see: <http://www.lvshangu.com/>) are chosen and will be utilized the European showcases. For this the project need to import seeds from the Chinese partner Beijing Green Valley Sprout Ltd (BGVS).

BGVS has received export approval from Chinese custom authority for all seeds to be shipped to Norway. NIBIO the partner responsible for this task; has already applied to the relevant authority, the Norwegian Food Safety Authority (<https://www.mattilsynet.no/>) for approval to import these seeds for research purposes. All the documents with regard to importing of seeds from China will be produced to EU upon request. Beside these seed, the SiEUGreen project as up to now, has not envisaged any other material imported to or exported to from China.



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

4 Personal data import and export from China

The consortium clarifies that no personal data will be imported into the EU/Norway/Turkey from PR China or exported from the EU/Norway/Turkey to PR China.



5 References

1. ALLEAE (2017) European Code of Conduct for Research Integrity, ALLEA - All European Academies (2017), Available at:
http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/h2020-ethics_code-of-conduct_en.pdf . [accessed 20 January 2018]
2. Council of European Union (2016). General Data Protection Regulation
<http://data.consilium.europa.eu/doc/document/ST-5419-2016-INIT/en/pdf>. [accessed 20 January 2018]
3. Data Protection - Turkey (2017), Available at: <https://iclg.com/practice-areas/data-protection/data-protection-2017/turkey> [accessed 15 February 2018]
4. Data Protection - China (2017), Available at: <https://iclg.com/practice-areas/data-protection/data-protection-2017/turkey> [accessed 15 February 2018]
5. European Union (2012) Charter of Fundamental Rights of the European Union , 26 October 2012, Available at : <http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:12012P/TXT> [accessed 15 February 2018]
6. European Union (2018) Data Protection in the EU, Available at (related new data=https://ec.europa.eu/commission/sites/beta-political/files/data-protection-overview-citizens_en.pdf . [accessed 15 January 2018]
7. European Commission (2018a) How to complete your ethics self-assessment, Version 5.3; 21 February 2018, The EU Framework Programme for Research and Innovation Horizon 2020 Available at:
http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf. [accessed 10 March 2018]
8. European Commission (2018 b) AGA – Annotated Model Grant Agreement, 26 October 2017, The EU Framework Programme for Research and Innovation Horizon 2020 Available at:
http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020-amga_en.pdf [accessed 15 January 2018]
9. European Commission (2009) Data protection and privacy ethical guidelines, Ethical Review in FP7, 18 September 2009, Experts Working Group on data protection and privacy, European Commission. Available at:
http://ec.europa.eu/research/participants/data/ref/fp7/89827/privacy_en.pdf. [accessed 25 January 2018]
10. EU Directive (1995) Directice 95/46/EC of the European Parliament and of the Council, 24 October 1995, Available are: <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:31995L0046&from=en>. [accessed 15 February 2018]
11. NESH (2016) Guidelines for Research Ethics in the Social Sciences, Humanities, Law and Theology, The National Committee for Research Ethics in the Social Sciences and the Humanities (NESH) (4th edition June 2016), The Norwegian National Research Ethic Committees, Available at: https://www.etikkom.no/globalassets/documents/english-publications/60127_fek_guidelines_nesh_digital_corr.pdf [accessed 14 February 2018]



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology

12. NMBU (2015) Ethical guidelines for NMBU (Adopted by the university Board on 26 November 2015), Available at:
https://www.nmbu.no/en/research/for_researchers/research-administration [accessed 15 January 2018]



Co-funded by the Horizon 2020 programme
of the European Union



Co-funded by the Chinese Ministry
of Science and Technology